# Environmental impacts of Artificial Intelligence

#### Cours Intelligence Artificielle et Environnement Master MVA



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#### Artificial Intelligence

#### Machine Learning





#### Deep Learning



## Context

#### **Environmental context**



Planetary boundaries, source: Wikipedia

#### **Environmental context**



## Why AI?

potential high environmental impacts:

- massive data
- computation demand

often presented as a **solution** 

... without considering its negative impacts



(Sevilla et al., 2022)

#### Al as a solution?

Work on prospective studies (Bugeau & Ligozat, 2023)





#### Al as a solution?



«Al can enable our future systems to be more productive for the economy and for nature. This supports the proposition that we can use Al to help 'decouple' economic growth from GHG emissions.»



#### AI as a solution?

STUDY Requested by the AIDA committee



The role of Artificial Intelligence in the European Green Deal



«Artificial Intelligence (AI) can be deployed for a wide range of applications to promote the goals of the European Green Deal. However, adverse environmental impacts of AI could jeopardise the attainment of these goals.»



(....) artificial intelligence can improve energy management in all sectors, increase energy efficiency, and promote the adoption of many low-emission technologies, including decentralised renewable energy, while creating economic opportunities. However, some of these climate change mitigation gains can be reduced or counterbalanced by growth in demand for goods and services due to the use of digital devices. «Tackling Climate Change with Machine Learning» (Rolnick et al., 2019)



Detecting loading inefficiency

Research and development

Congestion management Vehicle-to-grid algorithms Battery energy management Battery R&D

Figure 2: Selected strategies to mitigate GHG emissions from transportation using machine learning.

«Tackling Climate Change with Machine Learning» (Rolnick et al., 2019)



## **Environmental impacts of AI**

#### First, second and third order impacts of AI



(Kaack et al., 2021)

## First-order impacts

#### Bottom-up approach

on a server, what is the additional energy use due to the AI program running:

- processor
- GPU
- memory...

=> footprint<sub>1</sub> =  $\sum$  (use<sub>resource</sub>) x electricity carbon intensity

kWh 
$$\rightarrow$$
 kg CO<sub>2</sub>e



France: 101g CO2e/kWh (86% low carbon, 13% RenE)

#### Temporal evolution of the carbon intensity



# Influence of the carbon intensity on the operational carbon footprint



*Figure 4.* Estimated carbon emissions (gCO<sub>2</sub>eq) of training our models (see Appendix B) in different EU-28 countries. The calculations are based on the average carbon intensities from 2016 (see Figure 8 in Appendix).

(Anthony et al., 2020)

#### Serveur energy use

not proportional to the charge

variation in time, with models...



taurus-3 taurus-4 taurus-5 taurus-7 120. 110. 100 Power (Watts) 90 200 400 0 600 taurus-11 taurus-12 taurus-16 Date 120. - 2014-05-05 110. - 2016-11-23 Man know marken and and 100. المار الملك المراجع 90 400 600 0 200 400 600 200 0 200 400 600 Time (s)

#### Taurus cluster, Idle consumption - 7 nodes @ 2300 MHz

Source: (Heinrich et al., 2017)

#### How to measure energy use?

hardware



software



#### **Green Algorithms**

Towards environmentally sustainable computational science



#### Hardware vs software



#### Electricity consumption in Jean Zay



#### Evaluating the carbon footprint of an AI service

#### Which equipment?





#### Other energy use

#### Average electricity consumption in datacenters



#### Efficiency of the facility

PUE = total facility energy IT equipment energy



=> footprint<sub>2</sub> = footprint<sub>1</sub> x PUE

#### Tools for carbon footprint estimation



Many factors influence the carbon footprint of this phase

- model, data...
- energy efficiency of the data center
- carbon intensity of the electricity



#### Comparison of several tools



source: (Jay et al., 2023)

#### AI: which tasks?



#### Training vs inference (Wu et al., 2021)



#### Life Cycle Assessment



#### Composition of a smartphone



Source: report from French Sénat on smartphones

#### Metal recovery



#### 1. Réalités des filières minérales 1.2. Processus de récupération du métal laborieux CONCENTRATION ou traitement du minerai **EXTRACTION** CHIMIQUE ou métallurgie RAFFINAGE Minerai : 0,4 à 2% Cu Concentré : 31% Cu Crédits = Icone | Smashicons · Flaticon License · flaticon.com Blister (pyromét.) : Cathode : 99,99 % Minerai de cuivre, mine de Gaspé, Québec, Canada | Cephas · 2013 · cc by-sa 3.0 Chalcopyrite, mine de Ballard, Kansas, USA | Rob Lavinsky, iRocks.com · 2010 · cc by-sa 3.0 98 à 99,5% Blister de cuivre, Svyatogor JSC, Russie | Dogad75 · 2016 · cc by-sa 4.0 Cathodes de cuivre en Zambie | mm-j · 1999 · cc by-nc 2.0

#### Ruée minière au XXIè siècle : jusqu'où les limites seront-elles repoussées ? - Aurore Stephant at USI

#### Raw material availability

Durée de vie des réserves rentables (en années d'exploitation)

En cas de boom (demande accrue de 10% pendant dix ans)
 Au rythme actuel de production

![](_page_32_Figure_3.jpeg)

#### E-waste

![](_page_33_Figure_1.jpeg)

#### Informal recycling

![](_page_34_Picture_1.jpeg)

## Dumping and processing of electronic waste in Agbogbloshie, Accra, Ghana

source : By Muntaka Chasant - Own work, CC BY-SA 4.0, https://commons.wikimedia.org/w/index.php?curid=81939788

#### Top down approach at GRICAD

# Servers carbon footprint Computation servers - production Computation servers - usage Other servers - usage 🔵 Other servers - production

Source: (Berthoud et al., 2020)

#### Life cycle assessment of AI systems

#### (Luccioni et al, 2023)

![](_page_36_Picture_2.jpeg)

Process	(CO <sub>2</sub> emissions (CO <sub>2</sub> eq)	Percentage of total emission
Embodied emissions	11.2 tonnes	22.2 %
Dynamic consumption	24.69 tonnes	48.9 %
Idle consumption	14.6 tonnes	28.9 %
Total	50.5 tonnes	100.00%

- Methodology for estimating the carbon footprint of the Jean Zay infrastructure
- Estimation of the carbon footprint
  - for training the model, including idle consumption & embodied emissions
  - for inference

#### Integrating life cycle aspects in environmental evaluation

Outil	Life cycle phase considered				Multiple	Estimates	-		
	Ext.	Man.	Tra.	U Infra.	ti. Dyn.	EoL.	impacts considered	consumption	GPU support
Green Algorithms	X	×	X	1	1	X	×	1	1
ML CO <sub>2</sub> Impact	×	×	×	×	1	×	X	1	<ul> <li>Image: A second s</li></ul>
CarbonTracker	X	X	X	1	1	×	×	×	1
CodeCarbon	X	×	X	1	1	×	×	×	1
Boavizta	1	1	×	X	X	×	1	2	×

source: (Morand, 2023)

#### Integrating life cycle aspects in environmental evaluation

![](_page_38_Figure_1.jpeg)

source: (Morand, 2023)

#### **Environmental impacts**

![](_page_39_Figure_1.jpeg)

Figure 3: Distribution of BC-1 environmental impacts by life cycle phase<sup>22</sup>

Source: European commission, 2015

#### **Results for BLOOM training**

![](_page_40_Picture_1.jpeg)

#### training **BLOOM**

- GWP: 59tCO<sub>2</sub> eq
  - annual emissions of 59 person (PB<sub>GWP</sub>)
  - annual emissions of 29 person (SNBC)
- ADP: 1.2 kgSb eq
  - annual resource extraction of 38 person (PB<sub>ADP</sub>)
- PE: 9800000 MJ

http://calculator.green-algorithms.org/

#### Carbon footprint of ICT in 2020 (Freitag et al, 2021)

![](_page_41_Figure_1.jpeg)

## Proportional breakdown of ICT's carbon footprint, excluding TV (Freitag et al, 2021)

![](_page_42_Figure_1.jpeg)

(A) Andrae and Edler (2015): 2020 best case (total of 623 MtCO<sub>2</sub>e).

(B) Belkhir and Elmeligi (2018): 2020 average (total of 1,207 MtCO<sub>2</sub>e).

(C). Malmodin (2020): 2020 estimate (total of 690  $MtCO_2e$ ).

![](_page_42_Figure_5.jpeg)

# Projections of ICT's GHG emissions from 2020 (Freitag et al, 2021) 5.50

Andrae & Edler (2015) - Best case

![](_page_43_Figure_1.jpeg)

——Andrae & Edler (2015) - Expected case

## Second and third-order impacts

#### Indirect impacts

#### optimize traffic flow?

![](_page_45_Picture_4.jpeg)

priority to systems with significant impacts?

use of new connected objects, sensors...

**rebound effect** smoother traffic flow => time savings => greater distance from home => urban sprawl

path dependency

prolongs current system, vs. public transport, active mobility...

#### Carbon footprint of the ICT sector(s)

![](_page_46_Figure_1.jpeg)

source: Bol, D., Pirson, T., & Dekimpe, R. (2021). *Moore's Law and ICT Innovation in the Anthropocene*. In 2021 Design, Automation & Test in Europe Conference & Exhibition (DATE). IEEE.

#### Structural effects

Our societies are dependent on digital technology

How do we adapt to climate change and resource depletion?

Case of storm Alex in the Alpes-Maritimes

Numerous communes in the valleys without water or electricity, without road or rail links, and without telephone communications (mobile, copper and fiber-optic sites having been affected).

![](_page_47_Picture_5.jpeg)

source: Orange

#### Infrastructure resilience

![](_page_48_Figure_1.jpeg)

Note: the qualitative assessment is based on interviews conducted for the study (including RTE, Enedis, SNCF Réseau, Cerema and Vinci Autoroutes). The colour represents the intensity of the physical risk (green when vulnerability is limited, red when it is high).

Summary: The physical risk to transport infrastructure from high winds and storms is considered to be limited, and the increase in average temperature has been anticipated for electricity infrastructure (green boxes). Flooding poses risks of structural deformation or even failure of transport network infrastructures (red boxes). Heat waves pose significant risks to the operation of air-conditioning systems for strategic active equipment in telecommunications networks (boxes in red).

Source: France Stratégie

## In ML/NLP?

#### What is presently assessed

Life cycle	Production	Usage	End of life
Equipment	User equipment	Network equipment	Data center
AI tasks	Data acquisition, processing & storage	Training	Inference
ndicators	Carbon footprint Resou	urce depletion Wate	er consumption
Impact I types	Direct impacts Indire	ct impacts	

#### Red vs Green AI (Schwartz et al., 2020)

#### Red Al

- improve accuracy rather than efficiency, through the use of massive computational power while disregarding the cost
  - even though relationship between model performance and model complexity is at best logarithmic
- yet valuable: contributes to what we know about pushing the boundaries of AI

but

 $\Rightarrow$  allow for more equitable comparisons, eg reporting training curves

 $\Rightarrow$  recognize Green AI work

#### **Green Al**

novel results encouraging a reduction in resources spent

#### **Responsible AI?**

![](_page_52_Picture_1.jpeg)

(Dilhac et al., 2018)

 AI systems and associated equipment must aim for maximum energy efficiency and minimize the carbon footprint over their entire lifecycle, as well as impacts on ecosystems and biodiversity...

#### • Villani report (2018)

 (...) Al can lead to numerous rebound effects. For example Al can prevent us from rethinking our modes of growth, consumption, and measurement of wealth produced, and instead to consume just as much as before, if not more.

![](_page_52_Picture_6.jpeg)

CÉDRIC VILLANI

DONNER UN SENS À L'INTELLIGENCE

ARTIFICIELLE

NATIONALE ET EUROPÉENINE

#### Environmental impacts of AI? (Strubell et al, 2019)

variety of state-of-the-art NLP models

software-based energy measurement

Training

- 12 hours to several weeks
- emissions: between 18kg CO2e and 284 t CO2e
- most used model: 652 kg CO2e, or
  - one one-way flight from Paris to Hong Kong
  - o or 2 500km by car

sum GPU time ~ 60 GPU during 6 months

![](_page_53_Figure_10.jpeg)

#### Precision vs CO2e (Parcollet et Ravanelli, 2021)

![](_page_54_Figure_1.jpeg)

Figure 2:  $CO_2$  emitted in kg (in France) by different E2E ASR models with respect to the word error rate (WER) on the dev sets of LibriSpeech and CommonVoice. The curves exhibit an exponential trend as most of the training time is devoted to slightly reduce the WER. The black and red dots indicates the WER obtained with 50% and 100% of the emitted  $CO_2$ . On LibriSpeech, 50% of the carbon emissions have been dedicated to reach SOTA results with an improvement of 0.37%.

#### Climate performance model card (Hershcovich et al, 2022)

Minimum card		Extended card		
Information	Unit	6. What was the energy mix at the geo location?	gCO2eq kWh	
<ol> <li>Is the resulting model publicly available?</li> <li>How much time does the training of the final</li> </ol>	Yes/No Time	7. How much CO2eq was emitted to train the final model?	kg	
<ul><li>model take?</li><li>3. How much time did all experiments take (incl.</li></ul>	Time	8. How much CO2eq was emitted for all experi- ments?	kg	
4. What was the energy consumption	Watt	9. What is the average CO2eq emission for the inference of one sample?	kg	
(GPU/CPU)? 5. At which geo location were the computations performed?	Location	10. Which positive environmental impact can be expected from this work?	Notes	
		11. Comments	Notes	

## What can I do (to reduce my carbon footprint) as a ML/AI practioner?

![](_page_56_Figure_1.jpeg)

(Ligozat and Luccioni, 2021)

#### Google's answer to (Strubell et al., 2019)

The Carbon Footprint of Machine Learning Training Will Plateau, Then Shrink David Patterson<sup>1,2</sup>, Joseph Gonzalez<sup>2</sup>, Urs Hölzle<sup>1</sup>, Quoc Le<sup>1</sup>, Chen Liang<sup>1</sup>, Lluis-Miquel Munguia<sup>1</sup>, Daniel Rothchild<sup>2</sup>, David So<sup>1</sup>, Maud Texier<sup>1</sup>, and Jeff Dean<sup>1</sup>

Best practices proposed:

- Efficient ML model
- Processors optimized for ML training
- Cloud pour better energy efficiency
- Location with the "cleanest" energy

and «Google's renewable energy purchases further reduce the impact to zero»

but:

- what about the life cycle?
  - recent processors ⇒ carbon footprint ∧
- what about inference?
- «carbon free» energy and «net zero impact»?
- potential carbon footprint if everything optimized, but not actual one
- focus on carbon footprint

#### Decarbonization of energy?

![](_page_58_Figure_1.jpeg)

#### Environmental assessment of projects involving AI methods

- Impacts of ICT equipment
  - material extraction, manufacturing, end of life
  - use: computation, data
- Justification of the AI method
  - o nécessity of Al
  - resilience
- Impacts due to societal changes
  - reference scenario
  - potential indirect impacts

Proposal for a framework document

## Environmental assessment

#### of projects involving AI methods

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#### https://hal.science/hal-03922093

## Back to AI to tackle climate change

#### AI for environmental applications

![](_page_61_Figure_1.jpeg)

at least with Life Cycle Assessment

taking into account as many indirect effects as possible

#### Life cycle assessment of AI systems

#### (Ligozat et al, 2021)

Assessing the environmental impacts of an Al system should at least include a Life Cycle Assessment

How are AI for Green systems benefits assessed?

![](_page_62_Figure_4.jpeg)

$$\Delta(M_2|M_1) = LCA(M_2) - LCA(M_1) \in \mathbb{R}^d$$
(1)

with:

- M<sub>1</sub> the reference application without using the AI service,
- M<sub>2</sub> the application enhanced by AI,
- LCA(x) a quantification of *d* types of environmental impacts (e.g., GHG emissions, water footprint, etc.). The LCA methodology is described in Section 3.2. Note that  $LCA(M_2)$  includes the impacts of the AI service itself, i.e.,  $LCA_{AI}(M_2)$ .

#### Evaluations in (Rolnick et al., 2019)

![](_page_63_Figure_1.jpeg)

a. No mention of the environmental gain

b. General mention of the environmental gain

c. A few words about the environmental gain but no quantitative evaluation or only indirect estimation

d. Evaluation of the energy gain without taking the AI program into account

#### Biases of impact studies (Rasoldier et al., 2022)

Perimeter

- life cycle not taken into account: (Ligozat et al., 2021) for Al
- indirect (2nd and 3rd order) not taken into account: 5G

Hypotheses

• comparison to what reference scenario?

Disconnection from global scenarios

- minimal benefits + poorly managed uncertainties
- incompatibility between measures

#### Example in the health sector

![](_page_65_Figure_1.jpeg)

#### Conclusion

- Comprehensive evaluation of the environmental impacts remains a WIP
- But tools for partial evaluation of 1st order impacts exist and can easily be used
- As well as guidelines for a discussion of 2nd and 3rd order impacts

- But be careful with partial indicators
- Need for discussion of the role of AI in a green transition

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